



Sponsored Talk:

Utilize technologies to improve management of General Meetings & maintain high corporate governance standard

Speaker 講者	Ms Catharine Wong , Managing Director – Head of Share Registry & Issuer Services, Tricolor Services Limited
Date 日期	Friday 26 March 2021 • 2021 年 3 月 26 日(星期五)
Time 時間	11:00 am – 12:00 nn • 上午 11 時正 – 中午 12 時正
E-Platform 網上平台	HKIoD Zoom E-Platform • 香港董事學會 Zoom 網上平台
Language 語言	English • 英文
Admission 費用	Free • 免費



CPD Hour 小時：1

Brief Description:

With COVID-19 pandemic posing unprecedented challenges to many listed issuers in planning their general meetings, companies must adopt solutions that can cater to their communication needs, both physical and virtual. The joint statement published by HK-SFC and HKEX on 1 April 2020 encourages listed issues to explore and assess measures permissible under the laws of their jurisdictions of incorporation and their constitutional documents to reduce the need for physical attendance, including the use of technology solutions such as hybrid meetings and webcasts.

In this webinar, Catharine will be talking about:

Transformation in conducting general meetings/AGMs

- Regulatory update
- Global trends

Why adopting the e-AGM solutions?

- Technology revolution
- Scripless market
- Corporate Governance

How to ensure a high standard of corporate governance using latest digital technologies

- Client success stories

Speakers' Profiles:

Ms Catharine Wong, Managing Director – Head of Share Registry & Issuer Services, Tricolor Services Limited

Prior to joining Tricolor, Catharine was the Head of Depository and Nominee Services in HKEX, responsible for overseeing the daily operation and business development of all the depository, IPO and corporate action processes in HKEX. Highlights include implementation of the China Stock Connect, re-engineering key processes in the Central Clearing and Settlement System and leading the discussion on the Uncertificated Securities Market (USM) operation model.

Catharine has over 20 years of experience and has held various operations, client relations and business management positions in major international banks. She holds a Bachelor of Commerce from The University of New South Wales, Australia, a Master's degree in Applied Finance from Macquarie University in Sydney and is a Certified Practising Accountant (CPA).

Catharine is currently the Executive Committee Member of the Federation of Share Registrars Limited (FSR) and a member of USM Working Group led by the Joint Working Group, including Securities and Futures Commission, HKEX and FSR.

[Online Registration](#)